

We Create **Fortune**
**BÁO CÁO DỰ PHÓNG TÁI CƠ CẤU ETF Q3/2020**
**16/11/2020**
**Quỹ Van Eck Market Vector Vietnam ETF**

Ngày chốt số liệu 30/11/2020 ; Ngày công bố 11/12/2020

Ngày hoàn thành tái cơ cấu danh mục 18/12/2020

**Thêm:** Không thêm cổ phiếu nào

**Loại:** Không loại cổ phiếu nào

**Danh mục và tỷ lệ dự báo:**

Mã	Tỷ trọng mới	Tỷ trọng cũ	Thay đổi %	Giá trị mua/bán	Khối lượng mua/bán
VIC VN	8.00%	9.05%	-1.05%	(97,857)	(911,149)
VNM VN	8.00%	7.94%	0.06%	5,987	55,746
VHM VN	6.76%	6.64%	0.11%	10,339	132,043
HPG VN	6.32%	6.21%	0.10%	9,668	302,583
NVL VN	6.22%	6.11%	0.10%	9,515	153,460
VCB VN	6.14%	6.04%	0.10%	9,405	108,223
MSN VN	5.18%	5.10%	0.08%	7,928	87,995
VRE VN	5.10%	5.02%	0.08%	7,810	287,135
1476 TT	5.02%	4.94%	0.08%	7,685	22,603
7730 JP	4.50%	4.43%	0.07%	6,890	11,099
090460 KS	4.38%	4.31%	0.07%	6,708	14,735
097520 KS	4.08%	4.02%	0.07%	6,247	8,773
178320 KS	3.76%	3.70%	0.06%	5,759	14,589
VJC VN	3.41%	3.36%	0.06%	5,226	46,375
025320 KS	2.91%	2.86%	0.05%	4,451	45,210
060720 KS	2.89%	2.84%	0.05%	4,426	11,366
192650 KS	2.64%	2.60%	0.04%	4,039	16,821
SSI VN	2.47%	2.43%	0.04%	3,788	212,801
BVH VN	2.37%	2.33%	0.04%	3,628	65,717
POW VN	2.08%	2.05%	0.03%	3,190	318,960
SBT VN	1.86%	1.82%	0.03%	2,839	175,809
105630 KS	1.73%	1.70%	0.03%	2,647	7,183
GEX VN	1.46%	1.44%	0.02%	2,240	112,016
179900 KS	1.35%	1.33%	0.02%	2,068	8,186
TCH VN	1.35%	1.33%	0.02%	2,064	110,664



We Create **Fortune**

## Quỹ DB x-trackers FTSE Vietnam ETF

Ngày chốt số liệu 27/11/2020 ; Ngày công bố 04/12/2020

Ngày hoàn thành tái cơ cấu danh mục 18/12/2020

**Thêm:** Không thêm cổ phiếu nào

**Loại:** Không loại cổ phiếu nào

### Danh mục và tỷ lệ dự báo:

Mã	Tỷ trọng mới	Tỷ trọng cũ	Thay đổi %	Giá trị mua/bán (Trđ)	Khối lượng mua/bán (CP)
VIC	15.00%	15.58%	-0.58%	(35,809)	(333,420)
VNM	14.15%	14.06%	0.10%	5,962	55,516
VHM	13.44%	13.34%	0.09%	5,660	72,286
HPG	12.43%	12.35%	0.08%	5,237	163,909
MSN	11.36%	11.28%	0.08%	4,785	53,107
VRE	7.26%	7.21%	0.05%	3,058	112,412
VCB	6.09%	6.05%	0.04%	2,567	29,544
NVL	4.90%	4.86%	0.03%	2,063	33,281
VJC	4.24%	4.22%	0.03%	1,788	15,866
PLX	2.16%	2.14%	0.01%	908	18,614
SSI	1.85%	1.83%	0.01%	778	43,716
STB	1.80%	1.79%	0.01%	759	54,629
POW	1.13%	1.12%	0.01%	474	47,424
GEX	1.10%	1.10%	0.01%	465	23,249
SBT	1.04%	1.03%	0.01%	437	27,087
TCH	0.77%	0.77%	0.01%	326	17,476
KBC	0.73%	0.72%	0.00%	306	21,356
PVD	0.55%	0.55%	0.00%	234	19,724



We Create **Fortune**

## Tổng hợp khối lượng mua/bán của 02 quỹ ETF

	KL V.N.M mua/ bán	KL FTSE mua/bán	Tổng khối lượng mua/bán (CP)
BVH	65,717		65,717
GEX	112,016	23,249	135,265
HPG	302,583	163,909	466,492
KBC		21,356	21,356
MSN	87,995	53,107	141,102
NVL	153,460	33,281	186,741
PLX		18,614	18,614
POW	318,960	47,424	366,384
PVD		19,724	19,724
SBT	175,809	27,087	202,896
SSI	212,801	43,716	256,517
STB		54,629	54,629
TCH	110,664	17,476	128,140
VCB	108,223	29,544	137,767
VHM	132,043	72,286	204,329
VIC	(911,149)	(333,420)	(1,244,569)
VJC	46,375	15,866	62,241
VNM	55,746	55,516	111,262
VRE	287,135	112,412	399,547
BVH	65,717		65,717



We Create **Fortune**

## CÔNG TY CỔ PHẦN CHỨNG KHOÁN YUANTA VIỆT NAM

### Phòng phân tích khối khách hàng cá nhân

**Nguyễn Thế Minh**

**Giám đốc Nghiên cứu Phân tích**

+84 28 3622 6868 ext 3826

minh.nguyen@yuanta.com.vn

**Lý Thị Hiền**

**Trưởng phòng NC-PT**

+84 28 3622 6868 ext 3908

hien.ly@yuanta.com.vn

**Quách Đức Khánh**

**Chuyên viên phân tích cao cấp**

+84 28 3622 6868 ext 3833

khanh.quach@yuanta.com.vn

**Nguyễn Trịnh Ngọc Hồng**

**Chuyên viên phân tích cao cấp**

+84 28 3622 6868 ext 3832

hong.nguyen@yuanta.com.vn

**Phạm Tấn Phát**

**Chuyên viên phân tích cao cấp**

+84 28 3622 6868 ext 3880

phat.pham@yuanta.com.vn

### Phòng Môi giới khách hàng cá nhân

**Nguyễn Thanh Tùng**

**Giám đốc Môi giới Hội Sở**

+84 28 3622 6868 ext 3609

tung.nguyen@yuanta.com.vn

**Phạm Đắc Thành**

**Giám đốc Khu vực Miền Bắc**

+84 28 3622 6868 ext 3416

thanh.pham@yuanta.com.vn

**Nguyễn Mạnh Hoạt**

**Giám đốc Khu vực Miền Nam (KV TP.HCM)**

+84 28 3622 6868 ext 3847

hoat.nguyen@yuanta.com.vn

**Bùi Quốc Phong**

**Giám đốc chi nhánh Đồng Nai**

+84 28 3622 6868 ext 3701

phong.bui@yuanta.com.vn

**Võ Thị Thu Thủy**

**Giám đốc chi nhánh Bình Dương**

+84 28 3622 6868 ext 3505

thuy.vo@yuanta.com.vn

**Nguyễn Việt Quang**

**Giám đốc chi nhánh Hà Nội**

+84 28 3622 6868 ext 3404

quang.nguyen@yuanta.com.vn

**Võ Đình Tuấn**

**Giám đốc chi nhánh Đà Nẵng**

+84 28 3622 6868 ext 3301

tuan.vo@yuanta.com.vn

**Đinh Thị Thu Cúc**

**Giám đốc chi nhánh Vũng Tàu**

+84 28 3622 6868 ext 3203

cuc.dinh@yuanta.com.vn

**Lương Kỳ Ty**

**Giám đốc chi nhánh Chợ Lớn**

+84 28 3622 6868 ext 3653

ty.luong@yuanta.com.vn



We Create **Fortune**

---

## Appendix A: Important Disclosures

### Analyst Certification

Each research analyst primarily responsible for the content of this research report, in whole or in part, certifies that with respect to each security or issuer that the analyst covered in this report: (1) all of the views expressed accurately reflect his or her personal views about those securities or issuers; and (2) no part of his or her compensation was, is, or will be, directly or indirectly, related to the specific recommendations or views expressed by that research analyst in the research report.

### Ratings Definitions

**BUY:** We have a positive outlook on the stock based on our expected absolute or relative return over the investment period. Our thesis is based on our analysis of the company's outlook, financial performance, catalysts, valuation and risk profile. We recommend investors add to their position.

**HOLD-Outperform:** In our view, the stock's fundamentals are relatively more attractive than peers at the current price. Our thesis is based on our analysis of the company's outlook, financial performance, catalysts, valuation and risk profile.

**HOLD-Underperform:** In our view, the stock's fundamentals are relatively less attractive than peers at the current price. Our thesis is based on our analysis of the company's outlook, financial performance, catalysts, valuation and risk profile.

**SELL:** We have a negative outlook on the stock based on our expected absolute or relative return over the investment period. Our thesis is based on our analysis of the company's outlook, financial performance, catalysts, valuation and risk profile. We recommend investors reduce their position.

**Under Review:** We actively follow the company, although our estimates, rating and target price are under review.

**Restricted:** The rating and target price have been suspended temporarily to comply with applicable regulations and/or Yuanta policies.

Note: Yuanta research coverage with a Target Price is based on an investment period of 12 months. Greater China Discovery Series coverage does not have a formal 12-month Target Price and the recommendation is based on an investment period specified by the analyst in the report.

### Global Disclaimer

© 2018 Yuanta. All rights reserved. The information in this report has been compiled from sources we believe to be reliable, but we do not hold ourselves responsible for its completeness or accuracy. It is not an offer to sell or solicitation of an offer to buy any securities. All opinions and estimates included in this report constitute our judgment as of this date and are subject to change without notice.

This report provides general information only. Neither the information nor any opinion expressed herein constitutes an offer or invitation to make an offer to buy or sell securities or other investments. This material is prepared for general circulation to clients and is not intended to provide tailored investment advice and does not take into account the individual financial situation and objectives of any specific person who may receive this report. Investors should seek financial advice regarding the appropriateness of investing in any securities, investments or investment strategies discussed or recommended in this report. The information contained in this report has been compiled from sources believed to be reliable but no representation or warranty, express or implied, is made as to its accuracy, completeness or correctness. This report is not (and should not be construed as) a solicitation to act as securities broker or dealer in any jurisdiction by any person or company that is not legally permitted to carry on such business in that jurisdiction.

Yuanta research is distributed in the United States only to Major U.S. Institutional Investors (as defined in Rule 15a-6 under the Securities Exchange Act of 1934, as amended and SEC staff interpretations thereof). All transactions by a US person in the securities mentioned in this report must be effected through a registered broker-dealer under Section 15 of the Securities Exchange Act of 1934, as amended. Yuanta research is distributed in Taiwan by Yuanta Securities Investment Consulting. Yuanta research is distributed in Hong Kong by Yuanta Securities (Hong Kong) Co. Limited, which is licensed in Hong Kong by the Securities and Futures Commission for regulated activities, including Type 4 regulated activity (advising on securities). In Hong Kong, this research report may not be redistributed, retransmitted or disclosed, in whole or in part or any form or manner, without the express written